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| 1 2 | 0 6 2007 ₩ IN THE UNITED STATES PATENT AND TRADEMARK OFFICE | | | | | | | | acrone_ |
| TRAGA PPIn. No.: | 09/802,31 | 4 | | Exa | miner: (| Che | en, T. | | |
| Filed: | March 8, 2 | 2001 | | Art | Unit: 2 | 262 | 7 | | |
| For: | | | | | | | | | |
| Attorney Docket | No: 9281 | -3900 (N US00 | 0052) | | | | | | |
| 1.136(a). | er (in duplicate ppendix A and Postcard ee is required. e in an amour | i B. nt of \$ fo | ıra | of Paten | extension | stmo | ent (in du | | Ş |
| | - | an amount of \$ | | der 37 C.F | F.R. § 1.17(| |). | | |
| An additional fil | ing fee has be | en calculated as sh | nown below: | | | | | | |
| Claima Ramai | | Lichart No. | Present | Sma | Il Entity | | Not a S | mall Entity | <u>'</u> |
| Claims Remai After Amendn | | Highest No. Previously Paid For | Extra | Rate | Add'l Fee | or | Rate | Add'l Fee | |
| Total | Minus | | | x \$25= | | | x \$50= | | _ |
| Indep. | Minus | | | x 100= | | | x \$200= | | _ |
| First Presentation of Mult | iple Dep. Claim | | | +\$180= Total | \$ | | + \$360= Total | - | \$ |
| ✓ Please charge ✓ Payment by cre✓ The Director is and any patent | Deposit Accountit card in the hereby author application prequired to er | for the Petition for the No. 23-1925 in the amount of \$ized to charge payrescessing fees unconsure that this papers. | he amount of (Form ment of any der 37 CFR | ed. f \$200. PTO-2038 additional § 1.17 as | 3 is attached filing fees responded with the second terms of the s | d). equi | red unde | r 37 CFR r (includii | ⊸ § 1.16 ng any |

2/6/2 7

Respectfully submitted,

Gustavo Siller, Jr (Reg. No. 32,305)



"Express Mail" mailing label number: EV 924791802 US

Date of Deposit: February 🗘 , 2007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner: Chen, T.

2627

Art Unit:

In re Appln. of: SATO et al.

Appln. No.: 09/802,314

March 8, 2001

For:

Filed:

THIN-FILM MAGNETIC HEAD

APPROPRIATELY
SUPPRESSING SIDE
FRINGING AND METHOD
FOR FABRICATING THE

SAME

Attorney Docket No: 9281-3900 (N US00052)

PATENT TERM ADJUSTMENT

Mail Stop Patent Ext Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

The Decision Granting Letter from the Office of Petitions indicated that the Patent Term Adjustment (PTA) for the above referenced case is 320 days. A copy of the Decision Granting Letter is attached as Exhibit A.

Applicant's Attorney believes that the patent term adjustment should be 1842 days. For the reasons stated herein, reconsideration of the patent term adjustment is respectfully requested pursuant to 37 C.F.R. 1.705(b). Please charge any additional fee required or credit for any excess fee paid to Deposit Account No. 23-1925. A duplicate copy of this Petition is attached.

The present application is <u>not</u> subject to a terminal disclaimer. In addition, there were no circumstances during the prosecution of the application resulting in the notice

of allowance that constitute a failure of the Applicant to engage in reasonable efforts to conclude processing or examination of the present application as set forth in 37 C.F.R. §1.704.

Period of adjustment pursuant to 37 C.F.R. § 1.703(a)(6)

The period of adjustment pursuant to 37 C.F.R. § 1.703(a)(6) is the number of days in the period beginning on the day after the date that is four months after the date the issue fee was paid and all outstanding requirements were satisfied and ending on the date a patent was issued.

The issue fee was paid and all outstanding requirements were satisfied on June 9, 2005, as shown on Public Pair PTA History, herein attached as Exhibit B. The number of days from October 9, 2005 (four months from June 9, 2005) to the date a patent issued (January 23, 2007) is <u>471</u> days.

Period of adjustment pursuant to 37 C.F.R. § 1.703(b)

The period of adjustment pursuant to 37 C.F.R. § 1.703(b) is the number of days in the period beginning on the day ("the 3 year date") after the date that is three years after the date on which the application was filed pursuant to 35 U.S.C. § 111(a) or fulfilled the requirements pursuant to 35 U.S.C. § 371.

The present application was filed on March 8, 2001. The 3 year date specified in 37 C.F.R. § 1.703(b) is March 8, 2004. The PTO issued a patent for the present application on January 23, 2007. The difference between the 3 year date and the date of issue of the patent is 1051 days.

Total Patent Term Adjustment

For the present application, the total patent term adjustment pursuant to 37

C.F.R. § 1.703(f) is the period of adjustment pursuant to 37 C.F.R. § 1.703 reduced by

any delays pursuant to 37 C.F.R. § 1.704. Thus, according to our calculations, we

believe that the patent term adjustment should be at least 320 + 471 + 1051 days =

<u>1842</u> days.

It is respectfully asserted that the patent term adjustment determined by the

U.S. Patent and Trademark Office for the present application may not be correct.

Accordingly, Applicant's Attorney respectfully requests the U.S. Patent and

Trademark Office to reconsider, and make revisions to the PAIR system Patent Term

Adjustment History in view of the previous remarks. In addition, it is respectfully

requested that the patent term adjustment be re-calculated by the U.S. Patent and

Trademark Office in view of the above remarks. Office personnel are invited to

contact the undersigned attorney for the Applicant's Attorney via telephone if such

communication would be beneficial in fulfilling this request.

Respectfully submitted,

Gustavo Siller, Jr.

Registration No. 32,305

Attorney for Applicants

BRINKS HOFER GILSON & LIONE P.O. BOX 10395

P.O. BOX 10395 CHICAGO, ILLINOIS 60610

(312) 321-4200

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Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 2313-1450 Www.uspip.gov

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DEC 0 1 2006

Brinks Hofer Gilson & Lione PO Box 10395 Chicago, Illinois 60610

OFFICE OF PETITIONS

In re Application of Sato et al. Filed March 8, 2001 Application No. 09802,314 Attorney Docket No. 9281/3900

DECISION GRANTING LETTER

This decision is in response to Applicants' 'REQUEST FOR REVIEW OF THE PATENT TERM ADJUSTMENT' filed on May 9, 2005 requesting that the USPTO review the PTA determination at the time of the mailing of the notice of allowance.

Applicant's letter concerning PTA is **GRANTED**. The Office will adjust the PTA calculation at the time of the mailing of the notice of allowance to reflect this determination of PTA. A copy of the adjusted PTA calculation is provided with this determination. The correct amount of PTA at the time of the mailing of the decision is 320 days.

Applicant asserts that the USPTO may have granted too much PTA at the time of the notice of allowance. Applicant requests review of the PTA determination but did not provide any assert any specific reason for the discrepancy.

A review of the record reflects that applicant is correct. The Office failed to reduce applicants' PTA for two events. First, applicants filed a response to the notice to file missing parts on August 16, 2001. This delay amounted to 34 days. In addition, the reply submitted was noncompliant and accordingly, applicant did not file the drawings until April 15, 2002, a 242-day delay pursuant to 37 CFR 1.704(c)(7). Accordingly, the 66-day reduction that the Office assessed overlaps with the reduction for the two periods enumerated supra and therefore the 66-day reduction is removed. The Office properly calculated the 630-day reduction under 37 CFR 1.702(a)(1). In addition, the Office properly calculated the thirty-four day reduction under 37 CFR 1.704(b) for the reply to the final rejection. Accordingly, the correct amount of PTA is three hundred and twenty (630-242-34-34=320) days at the time of the mailing of the notice of allowance.

¹Applicant did not reply to the notice until 8/16/2001, a delay of 34 days beyond three months. It is noted that the notice to file missing parts provides a two-month reply period. However, applicants are provide three-months to reply to this notice regardless of the shortened statutory period set here.

²The reduction began the day after the initial response on 8/17/2001 and ended on the day the response was completed, April 15, 2002.

The USPTO acknowledges that any delays pursuant to 37 CFR 1.702(a)(4) and 1.702(b) will be added at the time of the issuance of the patent and will be reflected in the PTA determination provided to applicant in the issue notification letter.

After the mailing of this decision, the Office will forward this application to the Office of Publications for a prompt issuance of the patent.

If applicant believes that the Office erred in calculating the PTA for reasons other than provided in this decision, applicant may provide further clarification as to the inaccuracy of such calculation.

Because applicants were providing good faith and candor notice as to the amount of PTA, no fee is being assessed.

Any questions concerning this decision should be directed to Alesia Brown, Office of Patent Petitions at 571-272-3205.

Kery A. Fres

Senior Legal Advisor,

Office of Patent Legal Administration

Office of Deputy Commissioner For Patent Examination Policy

cc: Adjusted PAIR calculation

Day: Thursday Date: 11/30/2006

PALM INTRANET

Time: 12:32:40

| PTA Calculations for Application: <u>09/802314</u> | | | | | | | |
|--|------------|-------------------------|-----|--|--|--|--|
| Application Filing Date: | 03/08/2001 | PTO Delay (PTO): | 630 | | | | |
| Issue Date of Patent: | | Three Years: | 0 | | | | |
| Pre-Issue Petitions: | 0 | Applicant Delay (APPL): | 100 | | | | |
| Post-Issue Petitions: | 0 | Total PTA (days): | 320 | | | | |
| PTO Delay Adjustment: | -210 | | | | | | |

| File Contents History | | | | | | |
|-----------------------|------------|---|----------|------|-------|--|
| Number | Date | Contents Description | PTO | APPL | START | |
| 87 | 11/30/2006 | ADJUSTMENT OF PTA CALCULATION BY PTO | 132 | | | |
| 86 | 11/30/2006 | ADJUSTMENT OF PTA CALCULATION BY PTO | | 276 | | |
| 85 | 11/30/2006 | ADJUSTMENT OF PTA CALCULATION BY PTO | | 66 | | |
| 65 | 03/11/2005 | MAIL NOTICE OF ALLOWANCE | | | | |
| 64 | 03/11/2005 | MAIL FORMAL DRAWINGS REQUIRED | | | | |
| 63 | 03/07/2005 | ISSUE REVISION COMPLETED | | | · | |
| 62 | 03/07/2005 | FORMAL DRAWINGS REQUIRED | | | | |
| 61 | 03/07/2005 | NOTICE OF ALLOWANCE DATA VERIFICATION COMPLETED | | | | |
| 60 | 03/07/2005 | NOTICE OF ALLOWABILITY | | | | |
| 57 | 02/17/2005 | INFORMATION DISCLOSURE STATEMENT (IDS) FILED | | | | |
| 56 | 02/24/2005 | DATE FORWARDED TO EXAMINER | | | | |
| 55 | 02/17/2005 | AMENDMENT AFTER FINAL REJECTION | | | | |
| 53 | 02/17/2005 | WORKFLOW INCOMING AMENDMENT IFW | | | | |
| 52 | 10/29/2004 | REFERENCE CAPTURE ON IDS | | | | |
| 51 | 10/29/2004 | INFORMATION DISCLOSURE STATEMENT (IDS) FILED | | | | |
| 50 | 12/15/2004 | DATE FORWARDED TO EXAMINER | | | | |
| 49 | 11/05/2004 | AMENDMENT AFTER FINAL REJECTION | | 34 | 38 | |
| 48 | 11/05/2004 | REQUEST FOR EXTENSION OF TIME - GRANTED | | | | |
| 47 | 12/13/2004 | MAIL FINAL REJECTION (PTOL - 326) | | | | |
| 46 | 12/11/2004 | FINAL REJECTION | | | | |
| 45 | | WORKFLOW INCOMING AMENDMENT IFW | | | | |
| 44 | 10/12/2004 | MAIL ADVISORY ACTION (PTOL - 303) | <u> </u> | | | |
| 43 | 10/07/2004 | ADVISORY ACTION (PTOL-303) | | | | |
| | | | | I | il I | |

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|----|------------|--|----------|---------|----------|
| 42 | 10/05/2004 | IFW TSS PROCESSING BY TECH CENTER COMPLETE | | | |
| 41 | 10/05/2004 | DATE FORWARDED TO EXAMINER | | | |
| 40 | 08/27/2004 | AMENDMENT AFTER FINAL REJECTION | | | |
| 39 | 08/27/2004 | WORKFLOW INCOMING AMENDMENT IFW | | | <u> </u> |
| 38 | 07/02/2004 | MAIL FINAL REJECTION (PTOL - 326) | | | |
| 37 | 06/28/2004 | FINAL REJECTION | | | |
| 36 | | INFORMATION DISCLOSURE STATEMENT (IDS) FILED | | 0 | 34 |
| 35 | 06/09/2004 | DATE FORWARDED TO EXAMINER | <u> </u> | | |
| 34 | 05/28/2004 | RESPONSE AFTER NON-FINAL ACTION | | | |
| 33 | 05/28/2004 | WORKFLOW INCOMING AMENDMENT IFW | | | |
| 32 | 03/15/2004 | MAIL NON-FINAL REJECTION | | | |
| 31 | 03/11/2004 | NON-FINAL REJECTION | | | |
| 30 | 03/08/2001 | INFORMATION DISCLOSURE STATEMENT (IDS) FILED | | | |
| 29 | 09/17/2001 | REQUEST FOR FOREIGN PRIORITY (PRIORITY PAPERS MAY BE INCLUDED) | | | |
| 28 | 02/24/2004 | DATE FORWARDED TO EXAMINER | | | |
| 27 | 02/20/2004 | RESPONSE TO ELECTION / RESTRICTION FILED | | | |
| 26 | 02/12/2004 | APPLICATION DISPATCHED FROM OIPE | | | |
| 25 | 02/12/2004 | APPLICATION IS NOW COMPLETE | | | |
| 24 | 09/17/2001 | PAYMENT OF ADDITIONAL FILING FEE/PREEXAM | | 66 | 5 |
| 23 | 09/17/2001 | A STATEMENT BY ONE OR MORE INVENTORS SATISFYING THE REQUIREMENT UNDER 35 USC 115, OATH OF THE APPLIC | | | |
| 22 | 01/28/2004 | MAIL RESTRICTION REQUIREMENT | 630 | | -1 |
| 21 | 01/23/2004 | REQUIREMENT FOR RESTRICTION / ELECTION | | | |
| 20 | 12/23/2003 | INFORMATION DISCLOSURE STATEMENT (IDS) FILED | | | |
| 19 | | MISCELLANEOUS INCOMING LETTER | | | |
| 18 | 11/26/2003 | CASE DOCKETED TO EXAMINER IN GAU | | | |
| 17 | 11/26/2003 | DISPATCH FROM OIPE TO CORPS - U-P-R-D APPLICATION | | | |
| 16 | 09/17/2001 | OATH OR DECLARATION FILED (INCLUDING SUPPLEMENTAL) | | | |
| 15 | 09/17/2001 | SUBSTITUTE SPECIFICATION FILED | | <u></u> | |
| 14 | 07/23/2003 | PETITION DECISION - GRANTED | | | |
| 13 | 03/24/2003 | PETITION ENTERED | | | <u> </u> |
| | 1 | | II T | 1) | ,ı 1 |

| 12 | 05/21/2003 | PETITION DECISION - GRANTED | | |
|----|------------|---|---|---|
| 11 | 05/21/2003 | PETITION DECISION - DISMISSED | | |
| 10 | 02/14/2002 | PETITION ENTERED | | |
| 9 | 02/14/2002 | PETITION ENTERED | | |
| 8 | 10/10/2001 | PETITION DECISION - DISMISSED | | |
| 7 | 08/16/2001 | PETITION ENTERED | | |
| 5 | | NOTICE MAILEDAPPLICATION INCOMPLETE FILING DATE ASSIGNED | , | |
| 4 | 04/13/2001 | CORRESPONDENCE ADDRESS CHANGE | | |
| 3 | 04/13/2001 | CORRESPONDENCE ADDRESS CHANGE | | · |
| 2 | 03/26/2001 | IFW SCAN & PACR AUTO SECURITY REVIEW | | |
| 1 | 03/08/2001 | INITIAL EXAM TEAM NN | | |

| Search Another: A | Application# | | Sendi |
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EXPLANATION OF PTA CALCULATION

EXPLANATION OF PTE CALCULATION

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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

09/802,314 01/23/2007

PATENT NO. 7167340

ATTORNEY DOCKET NO.

CONFIRMATION NO.

2,314 01/23/2007

9281/3900

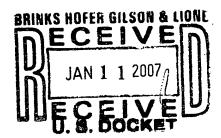
5260

757

7590

01/03/2007

BRINKS HOFER GILSON & LIONE P.O. BOX 10395 CHICAGO, IL 60610



ISSUE NOTIFICATION

The projected patent number and issue date are specified above.

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 543 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

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Hiromi Ikegami, Niigata-ken, JAPAN, Legal Representative;
Chiaki Ikegami, Niigata-ken, JAPAN, Legal Representative;

